

# Regulatory Hosting

## RQC Hosting

The costs required to obtain your own FCA authorisation can be a lengthy and costly process. Our RQC Hosting regulatory platform allows businesses to operate under our regulatory license, providing you with a turnkey solution, so you can focus on managing and marketing your business.

### Our Hosting regulatory platform

If you would like to undertake discretionary investment management or wish to outsource your requirements under AIFMD, our Hosting regulatory platform can offer a solution to your investment management requirements.

Key individuals can be seconded onto our platform to enable the use of our regulatory permissions. We will second the individual and then act as the investment manager to your fund or managed account for AIFM Hosting services.

RQC Hosting can act as the AIFM to the fund, while delegating the trading and portfolio management function to you and RQC Hosting will remain the AIFM, with AIFMD compliance covered by us.

### Main Onboarding Tasks

1. Personnel Screening & Record Checks (provided through VERO)
2. Onboarding of AR/UK Entity
3. Onboarding of Individuals – Approved Persons (for purpose of Marketing and Distribution)
4. Onboarding of Individuals – Secondees (for purpose of Portfolio Management/Trading/Execution)
5. AIFM Notification (for FUND) - **must be made 30 days before commencement of regulated activity**
6. Legal Agreements (AR, Hosting, Secondment, IMA where applicable)
7. Service Provider Agreements & Set-up (inc. Reporting Setup)
8. Policies & Compliance

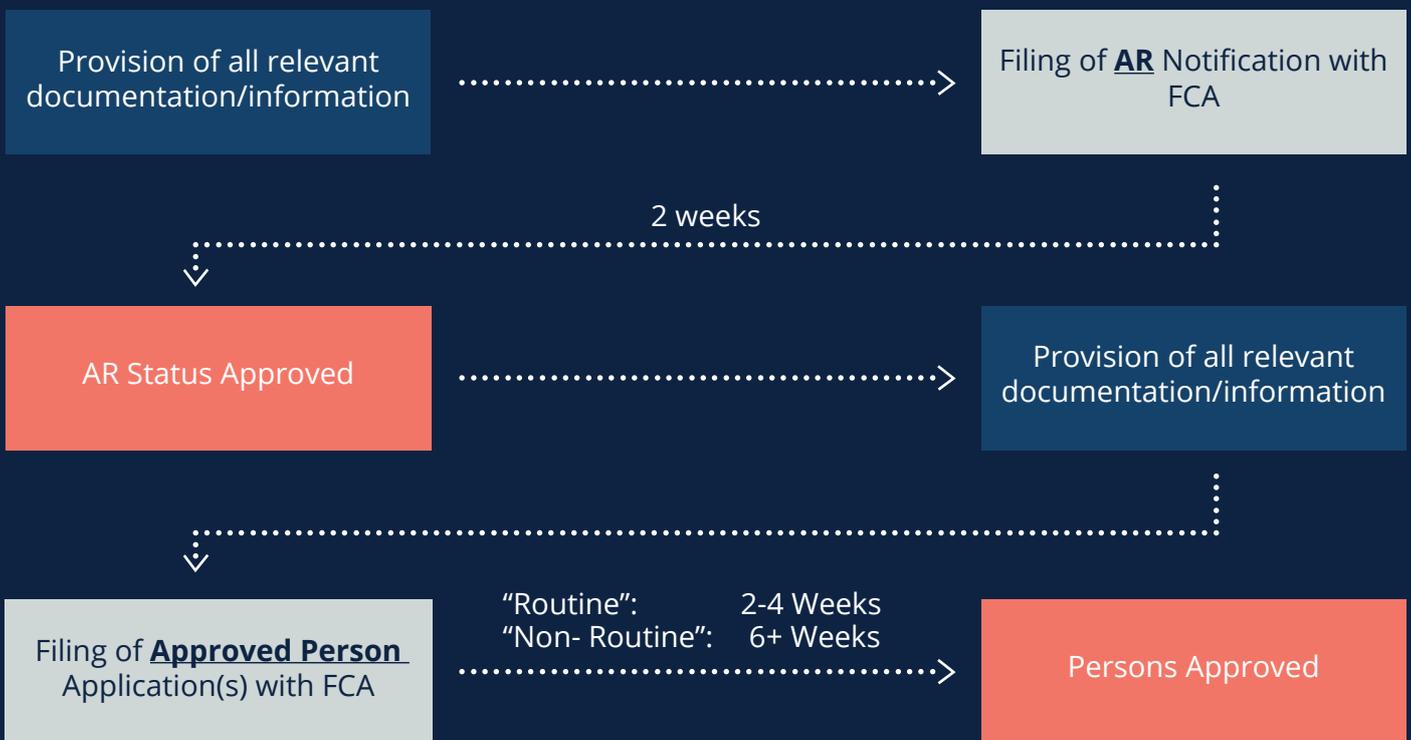
The **Welcome Pack** provides detailed requirements for the onboarding of Individuals and UK Entity.

All onboarding tasks are managed through **ASANA** – this provides two-way ownership of tasks, oversight of onboarding progress and displays task dependencies.

## Seconded vs Approved Person

	Seconded	Approved Person
Activity	Trading and Executing	Marketing and Distribution
Approval by	Our Platform	FCA
Regime	SMCR	Approved Persons
Backed by Agreement	Secondment Agreement	AR Agreement

## FCA Timings (from filing)



## The value of partnership

Our primary focus is to provide you with pragmatic and comprehensive regulatory support. In addition, as a professional services firm solely focused on the compliance and operational arena, we are committed to consistently providing an institutional level platform and excellent customer service.

Working with your team, our dedicated and experienced experts will deliver the most strategic and appropriate compliance guidance and supervision to help you build your business.