

## Company Overview

**We partner with our clients to connect the dots through industry-leading financial compliance advice and training**

Since RQC Group was founded in 2007 we have built a solid reputation as having an in-depth understanding of regulatory requirements and industry best practice, based on our following service level priorities:

- A collaborative approach to pragmatic and commercial regulatory advice
- Provision of a compliance infrastructure that meets institutional investor expectations
- Exclusively senior consultants experienced in advising C-level executives working with clients
- Fluent cross border expertise in Europe and the United States
- A priority to understand your business to provide clear compliance solutions tailored to your needs and business growth
- An importance placed on installing a confidence in our clients to navigate the ever-changing regulatory environment

## Our services

### Compliance Consulting

Our compliance consultancy gives clients the confidence to fluently understand their regulatory obligations, empowering them to identify regulatory solutions that directly fit the needs of their business.

### Regulatory Hosting

Our regulatory hosting platforms allow small or young businesses to operate under our regulatory license, providing managers with a turnkey solution to starting their FCA regulated business.

### e-Learning

Our industry leading e-Learning compliance courses are all written by our senior consultants, meaning they are accurate, detailed, as well as straightforward enough to be delivered to all members of your staff to help them connect the dots.

## Our values

Our primary goal is to help you be successful. We take the time to provide you with hands-on client services that is bespoke to your business needs

**Partnership**

**Trust**

Since 2007, we have been highly praised by clients, past and present, who continue to refer to us for advice, based on the quality of our work and dedication to helping our clients succeed

Our core strength lies in delivering bespoke advice to our clients based on regulatory best practice applied to their specific type of firm, as opposed to a tick-box, one size fits all approach

**Advice**

**Expertise**

All our compliance staff are senior consultants with many years of experience. They have an in-depth understanding of regulatory requirements and industry best practice. This allows us to act as an additional compliance resource to our clients

## Our history

**November 2007** - Robert Quinn Consulting Limited is founded in London to provide integrated FCA and SEC advice

**September 2011** - Robert Quinn Advisory regulatory platform is launched

**January 2017** - New offices open in New York

**February 2019** - New e-Learning courses and website created to help firms comply with SMCR

**April 2019** - Robert Quinn Management Platform is launched after joint venture with Capricorn Fund Managers

**September 2019** - Robert Quinn Consulting and Capricorn Fund Managers announce partnership

**May 2020** - Robert Quinn Consulting rebrands to RQC Group and launches new e-Learning platform and training courses

**rqcgroup.com**

Member of

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